



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Kirk Johnson, James O'Toole, M. Frans Kaaskoek and John Jannotti

Application No.: 09/766,874

Group: 2152

Filed: January 19, 2001

Examiner: Not assigned

For: Method and Apparatus for Determining a Network Topology  
in the Presence of Network Address Translation

RECEIVED

APR 04 2001

OFFICE OF PETITIONS

Date: 4/2/01

EXPRESS MAIL LABEL NO. EL 762238219US

TRANSMITTAL OF PROCESSING AND RETENTION FEE

Box DAC

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The Processing and Retention Fee pursuant to 37 C.F.R. §1.53(f) is being submitted herewith. A continuing application which claims priority under 37 C.F.R. §1.78(a)(1)(iv) to the instant application is being filed concurrently under separate cover, and under Express Mail procedures.

A Notice to File Missing Parts dated March 2, 2001 has been received in the above-captioned application. The payment of the Processing and Retention Fee is filed in lieu of a Reply thereto. A copy of the Notice is attached.

[X] A check for \$130 as set forth in 37 C.F.R. §1.21(l) is enclosed herewith.

Authorization is hereby granted to charge any additional fees which may be due in this matter to Deposit Account No. 08-0380. A copy of this transmittal is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Mark B. Solomon  
Mark B. Solomon  
Registration No. 44,348  
Telephone (781) 861-6240  
Facsimile (781) 861-9540

Lexington, Massachusetts 02421-4799

Dated: 4/2/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicants: Kirk Johnson, James O'Toole, M. Frans Kaaskoek and John Jannotti

Application No.: 09/766,874

Group: 2152

Filed: January 19, 2001

Examiner: Not assigned

For: Method and Apparatus for Determining a Network Topology  
in the Presence of Network Address Translation

RECEIVED

APR 04 2001

OFFICE OF PETITIONS

Date: 4/2/01

EXPRESS MAIL LABEL NO. EL 762238219US

DECLARATION OF EXPRESS ABANDONMENT OF APPLICATION  
UNDER 37 C.F.R. §1.138 (c)

Box DAC  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants, by their attorney, hereby expressly abandon the above-identified application under 37 C.F.R. §1.138(c) to avoid publication of the application.

A processing and retention fee, pursuant to 37 C.F.R. §1.53(f), is being submitted concurrently. A continuing application which claims priority under 37 C.F.R. §1.78(a)(1)(iv) is being filed concurrently under separate cover.

The requisite fee set forth in 37 C.F.R. §1.17(h) in the amount of \$130.00 is enclosed.  
Please charge any additional fees that may be due in this matter to Deposit Account No. 08-0380.  
A copy of this transmittal is enclosed for accounting purposes.

Respectfully submitted,  
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Mark B. Solomon  
Mark B. Solomon  
Registration No.: 44,348  
Telephone: (781) 861-6240  
Facsimile: (781) 861-6240

Lexington, MA 02421-4799  
Date: 4/2/01



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/766,874	01/19/2001	Kirk Johnson	2762.2002-001

## CONFIRMATION NO. 9760

### FORMALITIES LETTER



**RECEIVED**

DC000000005817579\*

APR 04 2001

OFFICE OF PETITIONS

Date Mailed: 03/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$644.
  - \$324 for 18 total claims over 20.
  - \$320 for 4 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1484.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

---

*A copy of this notice **MUST** be returned with the reply.*

*[Signature]*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE